

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE (REV. 2-2005)		ATTORNEY'S DOCKET NUMBER 280125US0PCT
<b>TRANSMITTAL LETTER TO THE UNITED STATES          DESIGNATED/ELECTED OFFICE (DO/EO/US)          CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold; text-align: center;">10/554399</div>
INTERNATIONAL APPLICATION NO. PCT/SE04/00590	INTERNATIONAL FILING DATE 15 April 2004	PRIORITY DATE CLAIMED 24 April 2003 earliest
TITLE OF INVENTION <b>PODOPHYLLOTOXIN DERIVATIVES AS IGF-1R INHIBITORS</b>		
APPLICANT(S) FOR DO/EO/US Magnus AXELSON et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> <li>11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li>12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> </ol> <p>Items 13 to 23 below concern document(s) or information included:</p> <ol style="list-style-type: none"> <li>13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>15. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>17. <input type="checkbox"/> A substitute specification.</li> <li>18. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</li> <li>20. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li> <li>21. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).</li> <li>22. <input type="checkbox"/> Express Mail Label No.</li> <li>23. <input checked="" type="checkbox"/> Other items or information:           <div style="margin-left: 20px;">             Application Data Sheet      Notice of Priority (2)              Request for Consideration           </div> </li> </ol>		

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. <b>10/554399</b> (37 CFR 1.53)		INTERNATIONAL APPLICATION NO. <b>PCT/SE04/00590</b>		ATTORNEY'S DOCKET NUMBER. <b>280125US0PCT</b>					
The following fees are submitted: 24. <input checked="" type="checkbox"/> Basic national fee ..... \$300				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 50%;">CALCULATIONS</th> <th style="width: 50%;">PTO USE</th> </tr> <tr> <td>\$ 300.00</td> <td></td> </tr> </table>		CALCULATIONS	PTO USE	\$ 300.00	
CALCULATIONS	PTO USE								
\$ 300.00									
25. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4). ..... \$100 All other situations. .... \$200				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 200.00</td> <td></td> </tr> </table>		\$ 200.00			
\$ 200.00									
26. <input checked="" type="checkbox"/> Search fee ..... Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... \$100 International Search Report prepared and provided to the Office ..... \$400 All other situations. .... \$500				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 400.00</td> <td></td> </tr> </table>		\$ 400.00			
\$ 400.00									
<b>TOTAL OF 24, 25 and 26 =</b>				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 900.00</td> <td></td> </tr> </table>		\$ 900.00			
\$ 900.00									
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td></td> <td></td> </tr> </table>					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE						
- 100 =	0 /50 =	0	x \$250.00	\$ 0.00					
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 130.00</td> <td></td> </tr> </table>		\$ 130.00			
\$ 130.00									
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE						
Total claims	51 - 20 =	31	x \$50.00	\$ 1,550.00					
Independent claims	3 - 3 =	0	x \$200.00	\$ 0.00					
MULTIPLE DEPENDENT CLAIMS (if applicable) <input checked="" type="checkbox"/> + \$360.00				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 360.00</td> <td></td> </tr> </table>		\$ 360.00			
\$ 360.00									
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 2,940.00</td> <td></td> </tr> </table>		\$ 2,940.00			
\$ 2,940.00									
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 0.00</td> <td></td> </tr> </table>		\$ 0.00			
\$ 0.00									
<b>SUBTOTAL =</b>				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 2,940.00</td> <td></td> </tr> </table>		\$ 2,940.00			
\$ 2,940.00									
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 0.00</td> <td></td> </tr> </table>		\$ 0.00			
\$ 0.00									
<b>TOTAL NATIONAL FEE =</b>				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 2,940.00</td> <td></td> </tr> </table>		\$ 2,940.00			
\$ 2,940.00									
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 0.00</td> <td></td> </tr> </table>		\$ 0.00			
\$ 0.00									
<b>TOTAL FEES ENCLOSED =</b>				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 2,940.00</td> <td></td> </tr> </table>		\$ 2,940.00			
\$ 2,940.00									
				Amount to be	\$				
				Amount to be	\$				

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Customer Number  
22850

Surinder Sachar  
Registration No. 34,423

*Surinder Sachar*  
\_\_\_\_\_  
SIGNATURE

Norman F. Oblon  
\_\_\_\_\_  
NAME

24,618  
\_\_\_\_\_  
REGISTRATION NUMBER

JC09 Rec'd PCT/PTO 24 OCT 2005

DOCKET NO.: 280125US0PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Magnus AXELSON, et al.

SERIAL NO.: NEW U.S. PCT APPLICATION

FILED: HEREWITH

INTERNATIONAL APPLICATION NO.: PCT/SE04/00590

INTERNATIONAL FILING DATE: April 15, 2004

FOR: PODOPHYLLOTOXIN DERIVATIVES AS IGF-1R INHIBITORS

**REQUEST FOR PRIORITY UNDER 35 U.S.C. 119  
AND THE INTERNATIONAL CONVENTION**Commissioner for Patents  
Alexandria, Virginia 22313

Sir:

In the matter of the above-identified application for patent, notice is hereby given that the applicant claims as priority:

**COUNTRY**

Sweden

**APPLICATION NO**

0301202-8

**DAY/MONTH/YEAR**

24 April 2003

Certified copies of the corresponding Convention application(s) were submitted to the International Bureau in PCT Application No. PCT/SE04/00590.

Respectfully submitted,  
OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Norman F. Oblon  
Attorney of Record  
Registration No. 24,618  
Surinder Sachar  
Registration No. 34,423

Customer Number

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(OSMMN 08/03)

JC09 Rec'd PCT/PTO 24 OCT 2009

DOCKET NO.: 280125US0PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Magnus AXELSON, et al.

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**REQUEST FOR PRIORITY UNDER 35 U.S.C. 119(e)**  
**AND THE INTERNATIONAL CONVENTION**Commissioner for Patents  
Alexandria, Virginia 22313

Sir:

In the matter of the above-identified application for patent, notice is hereby given that the applicant claims as priority:

<b><u>COUNTRY</u></b>	<b><u>APPLICATION NO</u></b>	<b><u>DAY/MONTH/YEAR</u></b>
USA	60/468,054	06 May 2003

Certified copies of the corresponding Convention application(s) were submitted to the International Bureau in PCT Application No. PCT/SE04/00590.

Respectfully submitted,  
OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Norman F. Oblon  
Attorney of Record  
Registration No. 24,618  
Surinder Sachar  
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(703) 413-3000  
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10/554399

JC09 Rec'd PCT/PTO 24 OCT 2005

DOCKET NO.: 280125US0PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Magnus AXELSON, et al.

SERIAL NO.: NEW U.S. PCT APPLICATION

FILED: HEREWITH

INTERNATIONAL APPLICATION NO.: PCT/SE04/00590

INTERNATIONAL FILING DATE: April 15, 2004

FOR: PODOPHYLLOTOXIN DERIVATIVES AS IGF-1R INHIBITORS

**REQUEST FOR CONSIDERATION OF DOCUMENTS**  
**CITED IN INTERNATIONAL SEARCH REPORT**


Commissioner for Patents  
Alexandria, Virginia 22313

Sir:

In the matter of the above-identified application for patent, notice is hereby given that applicant(s) request that the Examiner consider the documents cited in the International Search Report according to MPEP §609 and so indicate by a statement in the first Office Action that the information has been considered. When the Form PCT/DO/EO/903 indicates both the search report and copies of the documents are present in the national stage file, there is no requirement for the applicant(s) to submit them (1156 O.G. 91 November 23, 1993).

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Norman F. Oblon  
Attorney of Record  
Registration No. 24,618  
Surinder Sachar  
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